

ANNEXURE – 4
THE NON MEMBER CLIENT REGISTRATION FORM

(THIS INFORMATION IS THE SOLE PROPERTY OF THE TRADING MEMBER AND WOULD NOT BE DISCLOSED TO ANYONE UNLESS REQUIRED BY LAW)

FOR OFFICE PURPOSES: NON MEMBER CLIENT CODE: _____ (TO BE INSERTED BY THE EXCHANGE)	SALESMAN CODE: _____ (EMPLOYEE CODE / ASSIGNED BY THE TRADING MEMBER)
VERIFIED BY: _____	AUTHORISED BY: _____

TRADING MEMBER'S:

Name: _____

Address: _____

Telephone No.: _____

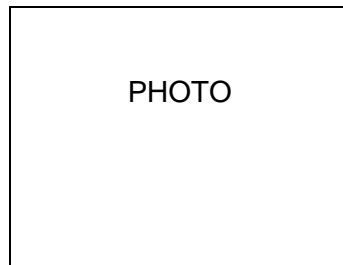
CLEARING MEMBER'S:

Name: _____

Address: _____

Telephone No.: _____

NON MEMBER CLIENT INFORMATION:



NAME OF THE NON MEMBER CLIENT: _____
(SURNAME) (NAME)

NAME OF THE FATHER'S/HUSBAND'S: _____
(SURNAME) (NAME)

SEX: MALE / FEMALE

DATE OF BIRTH: _____ (DDMMYYYY)

AGE: _____ YEARS

SIGNATURE: _____

RESIDENCE ADDRESS:

CITY: _____
DISTRICT: _____
ZONE: _____

COUNTRY: _____

NATIONALITY: _____

TELEPHONE NUMBER: (RES) _____

FAX NO: _____

NAME OF EMPLOYER: _____

OFFICE ADDRESS: _____

CITY: _____
DISTRICT: _____
ZONE: _____ COUNTRY: _____

TELEPHONE NUMBER (OFFICE): _____

FAX NO / TELEX NO: _____

RESIDENTIAL STATUS: NEPALESE/ NRN / OTHERS

PASSPORT NO: _____

OCCUPATION: _____

MARITAL STATUS: SINGLE / MARRIED

SPOUSE INFORMATION:

SPOUSE'S NAME: _____
(SURNAME) (NAME) (MIDDLE NAME)

DATE OF BIRTH: _____ (DDMMYYYY)

OCCUPATION: _____

IF EMPLOYED EMPLOYER'S NAME / SELF EMPLOYMENT:

DETAILS: _____

DESIGNATION: _____

PAN NO.: _____

INVESTOR TYPE:

INDIVIDUAL / PARTNERSHIP FIRM / FINANCIAL INSTITUTION / CORPORATES

BANK ACCOUNT DETAILS:

BANK NAME:

BRANCH:

ADDRESS:

ACCOUNT NO:

ACCOUNT TYPE: SAVINGS / CURRENT

TELEPHONE NUMBER(S): _____

FAX NO / TELEX NO: _____

TRADING EXPERIENCE:

_____ YEARS IN COMMODITIES

_____ YEARS IN DERIVATIVES

_____ YEARS IN STOCKS

_____ YEARS IN ANY OTHER INVESTMENT RELATED FIELD

NEPAL DERIVATIVE EXCHANGE LIMITED ON THE FOLLOWING MARKET SEGMENTS:
(PLEASE TICK IN THE RELEVANT BOXES)

1. FUTURES MARKET
2. SPOT MARKET

REFERENCES

NON MEMBER CLIENT INTRODUCED BY: _____
(SURNAME) (NAME)

INTRODUCING NON MEMBER CLIENT CODE: _____

DECLARATION:

I / We hereby declare that the details furnished above are true and correct to the best of my / our knowledge and belief and I / We undertake to inform you of any changes therein immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting I am / We are aware that I / We may be held liable for it.

Authorised Signatory (with company seal)

Name:

Place:

Date: